HAI (DAVID) GUO

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Department of Public Administration Florida International University 11200 8th Street, PCA 352A Miami, FL 33199

EDUCATION

Degree	Institution	Field	Dates
Ph.D.	Georgia State University and Georgia Institute of Technology	Public Policy	Aug. 2003—Aug. 2008
M.A.	Georgia State University	Economics	Aug. 2003—Aug. 2008
MPA	Iowa State University	Public Administration	Aug. 2001—Aug. 2003
B.A.	Beijing Foreign Studies University	English	Sept. 1995—Jul. 1999

ACADEMIC EXPERIENCE

Associate Professor, Public Administration Department

Aug. 2015 to Present

Assistant Professor, Public Administration Department

Aug 2008 to Aug. 2015

NON-ACADEMIC EXPERIENCE

Place of Employment	Title	Dates
Georgia State University	Research Assistant	Aug. 2003—Aug. 2008
W. Frank Newton Inc.	Project Intern	Jun. 2004—Aug. 2004
Department of Revenue, State of Iowa	Tax Research Intern	May 2003—Aug. 2003
Iowa State University	Teaching Assistant	Aug. 2001—Aug. 2003
Economic Development Bureau, TEDA	Project Manager	Jul. 1999—Jul. 2001

PUBLICATIONS

Book

Frank, H. and **Guo, H.** *Sociobudgeting in the Florida Gold Coast*. Springer (Contract signed)

Peer Reviewed Articles

- **Guo, H.** and Neshkova, M. Fiscal Severity and the Choice of Budget-Gap Closing Strategies. *Public Money&Management* (forthcoming)
- Neshkova, M. and **Guo, H.** (2016) Policy Targets and Pubic Participation in Agency Decision-Making. *International Public Management Journal* http://www.tandfonline.com/doi/full/10.1080/10967494.2016.1160012
- **Guo, H.** and Frank, H. (2015) Portability, an Innovative Property Tax Relief Whose Time Hasn't Come. *Journal of Public Budgeting, Accounting & Financial Management* 27(2). 153-178.
- Zhao, Z., **Guo, H.,** Coyle, D., Robinson, F., and Munnich, L. (2015) Revisiting the Fuel-Tax Based Transportation. *Public Works Management & Policy 20*(2). 105-126, first published on July 9, 2014 doi:10.1177/1087724X14539139
- Cong, Y.Q., Frank, H, Gianakis, G. and **Guo, H.** (2014) Critical Issues in the Transition from the Defined Benefit to Defined Contribution Pension Model: Perceptions from Florida Municipal Finance and Human Resource Directors. *Review of Public Personnel Administration*, 0734371X14533572
- **Guo, H.** (2014) Government Layers and Fiscal Transfers—A Quasi-experiment of Fiscal Reform in China. *Public Finance and Management*. *14*(4).
- **Guo, H.** and Neshkova, M. (2013) Citizen Input in the Budget Process: When Does It Matter Most? *American Review of Public Administration*. *43*(3). First published online as 0275074012443588 on April 29, 2012.
- Neshkova, M. and **Guo, H.** (2012). Public Involvement and Organizational Performance: Evidence from State Agencies. *Journal of Public Administration Research and Theory*. 22(2). 267-288. First published online as doi: 10.1093/jopart/mur038 on July 20th, 2011.
- Zhao, Z. and **Guo, H.** (2011) Managerial Quality and State General Obligation Bond Ratings: Evidence with the GPP Grades. *American Review of Public Administration*, 41 (5), 562-576. First published as doi: 10.1177/0275074010384718 on November 9, 2010.
- **Guo, H**. (2011) The Effects of Tax and Expenditure Limits on State Discretionary Tax Adjustment. *Journal of Public Budgeting, Accounting & Financial Management 23*(1), 69-93.
- **Guo, H.**, Frank, H. and Fink, D. 2009. Disclosure Quality of Management Discussion and Analysis (MD&A): Evidence from Large Florida Cities. *Municipal Finance Journal* Vol. 30 (3), 2009.
- Eger. R. J. and **Guo**, **H.** 2008. Financing Infrastructure: Fixed Price v. Price Index Contracts. *Journal of Public Procurement*, Vol 8 Issue 3, 2008.

Willoughby, K. G. and **Guo**, **H**. 2008. The State of the Art: Revenue Forecasting in U.S. State Governments. In Jinping Sun and Thomas D. Lynch (eds.) *Handbook of Government Budget Forecasting*, New York, N.Y.: Taylor & Francis Publishers: 244-255.

OTHER PUBLICATIONS

Willoughby, K.G., **Guo**, **H.** et. al. (2008). Measuring Performance: The State Management Report Card for 2008, *Governing* (March) Special Edition. Available online at: http://www.pewcenteronthestates.org/initiatives detail.aspx?initiativeID=36072

Willoughby, K.G., **Guo, H.** et. al. (2005). "A Report Card on Government Performance: Grading the States 2005," *Governing Special Issue*, February 2005. Available online at: http://www.pewcenteronthestates.org/initiatives_detail.aspx?initiativeID=36072

WORKING PAPERS

"What does Rating Agency Prefer: Fiscal flexibility or Discipline?"

"The Effect of Local Taxes on New Business Establishments: Evidence from Florida Counties," with Shaoming Cheng (Florida International University).

"Why do Governments Obfuscate" with Milena Neshkova and Howard Frank (Florida International University).

"Innovative Financing—Testing the Citizen's Perspective," with Alfred Ho (University of Kansas).

"Rise and Fall of Non-Profits In the Aftermath of Disasters: Evidence from Hurricane Katrina," with Michele Tantardini (Doctoral Student at FIU) and N. Emel Ganapati (Florida International University).

"Redistributive Spending in Hard Times—A Spatial Analysis of State TANF and Medicaid Spending," With Nakhyeok Choi (Doctoral student at FIU).

CONFERENCE PRESENTIONS

"Local Fiscal Policies and Small Businesses in Florida: A Spatial Panel Analysis" (With Shaoming Cheng). Next Generation of Public Finance Conference. Atlanta, GA. May, 2016.

"Community Associations and Local Government Budget: Substitution or Complement?" (With Shaoming Cheng). Urban Affairs Association Conference, San Diego, CA. Mar, 2016.

- "An analysis of Public Transit Expenditure in Urban China" (With Janey Wang, John Mikesell, Jerry Zhao, and Yang He). Annual Conference of the Association of Budgeting and Financial Management, Washington D.C., Oct, 2015.
- "The Linkage between Property Tax and New Business Formation and Growth" (With Shaoming Cheng).2015 Annual Conference of American Society for Public Administration, Chicago, Mar, 2015.
- "Innovative Financing—Testing the Citizen's Perspective" (With Alfred Ho). 2014 Annual Conference of the Association of Budgeting and Financial Management, Grand Rapids, MI, Oct, 2014.
- "Redistributive Spending in Hard Times—A Spatial Analysis of State TANF and Medicaid Spending" (With Nakhyeok Choi). 2014 Annual Conference of the Association of Budgeting and Financial Management, Grand Rapids, MI, Oct, 2014.
- "When Do Governments Obfuscate?" (With Milena Neshkova and Howard Frank). 2014 Annual Conference of the Association of Budgeting and Financial Management, Grand Rapids, MI, Oct, 2014.
- "The Effect of Property Tax on Business Establishment in Florida" (With Shaoming Cheng). 2014 Annual Conference of American Society for Public Administration, Washington D.C., Mar, 2014.
- "When Do Governments Obfuscate" (With Milena Neshkova and Howard Frank). 2014 Annual Conference of American Society for Public Administration, Washington D.C., Mar, 2014.
- "The Effectiveness of Budget Gap-Closing Strategies: Lessons from Florida's Local Governments. 2014 Annual Conference of American Society for Public Administration, Washington D.C., Mar, 2014.
- "The Effect of Local Taxes on Employment: Evidence from Florida Counties. 106th Annual Conference on Taxations, Tampa, FL., Nov, 2013.
- "A Spatial Analysis of Florida Local Governments' Unreserved Fund Balance", (With Wen Wang), 2013 Annual Conference of the Association of Budgeting and Financial Management.
- "Fiscal Severity and the Choice of Budget Gap-Closing Strategies", (With Milena Neshkova). 2013 Annual Conference of the Association of Budgeting and Financial Management.
- "Fiscal Sustainability: A Close Look at Florida Local Governments' Unreserved Fund Balance", (With Wen Wang, Zi Pan), 2013 Annual Conference of American Society for Public Administration, New Orleans, LA, Mar, 2013.
- "A Tale of Two Professions: How do Municipal Finance and Human Resource Directors View Critical Aspects of a DB to DC Paradigm Shift? Initial Findings from Florida",

- (With Howard Frank, Carrie Cong, and Gerasimos Gianakis), Western Social Science Association Annual conference, Denver, Co, April 2013.
- "Managing Fiscal Distress: Political Cost and Effectiveness of Budget Gap-Closing Strategies", (With Milena Neshkova), 34th Annual Conference of Association for Public Policy Analysis and Management, Baltimore, MD, Nov, 2012.
- "Managerial Quality and State Borrowing Cost: Evidence with the GPP Grades" (With Zhirong Zhao), 24th annual conference of the Association for Budgeting and Financial Management, New York, NY Oct, 2012
- "Tactics to Balance the Budget and Political Environment—Evidence from Florida Local Government" (With Milena Neshkova), the 6th Sino-US International Symposium on Public Administration, Beijing, China, June, 2012.
- "Does Province-Managing-County Reform Improve the Subnational Fiscal Equity Through Intergovernmental Transfers—Evidence from Henan Province" (With Xinye Zheng), the 3rd Chinese Public Administration Scholars Forum, Jinan, China, June, 2012.
- "Fiscal Institutions and State GO Bond Rating" (With Zhirong Zhao), Public Management Research Conference: Seeking Excellence in a Time of Change, Shanghai, China, May, 2012.
- "Managing Fiscal Distress: Lessons from Florida's Local Governments" (With Milena Neshkova), Midwest Political Science Association 70th Annual National Conference, Chicago, IL., April, 2012.
- "Entrepreneurial Approaches to Balance the Budget and Political Environment—evidence from Florida Local Governments, (With Milena Neshkova), 2012. Annual Conference of American Society for Public Administration, Las Vegas, NV. Mar, 2012.
- "Does Autonomy Foster Public Participation?" (With Milena Neshkova), 10th Public Management Research Conference, Syracuse, NY., June, 2011.
- "Does Autonomy Foster Public Participation." (With Milena Neshkova), Midwest Political Science Association 69th Annual National Conference, Chicago, IL., Mar, 2011.
- "Fiscal Decentralization and Intergovernmental Transfers in China—a Difference-in-Difference Approach", (With Xinye Zheng), 2011 Annual Conference of American Society for Public Administration, Baltimore, MD., Mar, 2011.
- "Explaining the Patterns of Citizen Participation in Agency Decision-Making", (With Milena Neshkova), 32nd Annual Conference of Association for Public Policy Analysis and Management, Boston, MA., Nov, 2010.
- "Disaster Capitalism: A Critical Test of the Natural Type" (With Edwards Ransford), Southeast Conference on Public Administration, Wilmington, NC., Oct, 2010.
- "Infrastructure Development and Foreign Investment A Case Study of Tianjin Economic and Technological Development Area (TEDA)", (With Zhirong (Jerry) Zhao), PRE-ABFM Symposium on China Studies Omaha, NE, Oct, 2010.

- "State Finance, the New Norm? A Reflection on State Budget Crises" (With Jun Peng), 22nd annual conference of the Association for Budgeting and Financial Management, Omaha, NE, Oct, 2010
- "Policy Types, Target Populations, and Participation at State Agencies" (With Milena Neshkova), Western Social Science Association Annual conference, Reno, Nevada. April 2010.
- "Unlocking the Lock-in Effect: Does the Florida Portability Amendment Stimulate the Change of Homestead?" (With Howard Frank), Western Social Science Association Annual conference, Reno, Nevada. April 2010.
- "Public Involvement and Organizational Performance: Evidence from State Agencies." (With Milena Neshkova), 10th Public Management Research Conference, Columbus, OH., Oct, 2009.
- "Unlocking the Lock-in Effect: Does the Florida Portability Amendment Stimulate the Change of Homestead?" (With Howard Frank), 21st annual conference of the Association for Budgeting and Financial Management, Washington D.C., Sept, 2009.
- "Citizen Input in Budget Process and Agency Performance: Evidence from State Agencies." (With Milena Neshkova), Annual Conference of the Association for Budgeting and Financial Management, Washington D.C., Sept, 2009.
- "Management Discussion and Analysis in Local Comprehensive Annual Financial Reports: Grading Their Disclosure in Tough Times." (With Howard Frank), Western Social Science Association Annual conference, Albuquerque, NM, April 2009.
- "Managerial Quality and State General Obligation Bond Ratings: Evidence with the GPP Grades." (With Zhirong Zhao), 2009 Annual Conference for American Society for Public Administration, Miami, Florida, March, 2009
- "Performance Grades and State Bonds Ratings: Evidence from the Government Performance Project", (with Zhirong Zhao), Western Social Science Association Annual conference, Denver, CO., April, 2008
- "Tax Increase or Spending Cut? Budgetary Institutions Matter", 19th annual conference of the Association for Budgeting and Financial Management, Washington D.C., Nov, 2007.
- "Institutional Structure and the Accuracy of State Revenue Forecasting", (with Katherine Willoughby), the 27th Annual International Symposium on Forecasting, New York City, NY., June 24th-27th, 2007
- "Do Tax and Expenditure Limitations Limit State's Tax Adjustment" 18th Annual Conference of the Association for Budgeting and Financial Management, Atlanta, GA., October 19-21, 2006.
- "State Revenue Forecasting: Results from the Government Performance Project" (with Katherine Willoughby), 18th annual conference of the Association for Budgeting and Financial Management, Atlanta, GA., October 19-21, 2006.

"Do Tax and Expenditure limitations limit state's tax adjustment" Southeast Conference on Public Administration, Athens, GA., September 28, 2006.

"State Government Purchasing: Advancements in E-Procurement," (with Katherine Willoughby), Southeast Conference on Public Administration, Athens, GA., September 28, 2006.

"Which Costs More: Public Authorities or Municipalities?" with Robert J. Eger III), Western Social Science Association Annual conference, Phoenix, AZ., April, 2006

"Which Are More Efficient: Public Authorities or Municipalities?" (with Robert J. Eger III), 17th Association for Budgeting and Financial Management Annual Conference, Washington, D.C., November 10-12, 2005

"The Policy of Trade-Offs: The Use of Price Index Contracts in Transportation" (with Robert J. Eger III), the Association for Public Policy Analysis and Management Conference, Washington, D.C., November 3-5, 2005.

"Financing Infrastructure: Fixed Price v. Price Index Contracts" (with Robert J. Eger III), 16th Annual Conference of the Association for Budgeting and Financial Management, Chicago, Ill., October 7-9, 2004.

INVITED PRESENTATIONS

Renmin University of China, Beijing, China, June 8th, 2012. "Does Province-Managing-County Reform Improve the Subnational Fiscal Equity Through Intergovernmental Transfers—Evidence from Henan Province"

Shanghai University of Finance and Economics, Shanghai, China, May 23rd, 2012. "Municipal Bond and Credit Rating"

East China Normal University, Shanghai, China, May 24th, 2012. "Public Budgeting and Financial Management in the U.S. State and Local Governments"

FUNDED RESEARCH

Principal Investigator, *Truth and Integrity in Government Finance Project*. Volcker Alliance. Amount: \$83,000 (one of the 13 University Partners with Volcker Alliance on a multiyear study)

Principal Investigator, *Province-Managing-County Reform and Its Impact on Local Governments' Budget Structure in China: Evidence from Henan Province*. Competitive Faculty Development Grant. College of Arts & Sciences FIU. 2011. Amount: \$ 6050

Guo, H. and Neshkova, M. *Does Fiscal Crisis Foster Public Sector Entrepreneurship? Evidence from Florida's Local Governments*, the Eugenio Pino & Family Global Entrepreneurship Center, Amount: \$7,500.

PROPOSALS SUBMITTED BUT NOT FUNDED

Principal Investigator "Fiscal Slack and Budget Stability: A Spatial Analysis of U.S. Local Governments", National Science Foundation. (Amount requested: \$229,096)

Guo, H. and Frank, H. (2010). The Effects of the Florida Portability Amendment, *Lincoln Institute of Land Policy*. (Amount requested: \$27,000).

Key Personnel, *City of Homestead Community Redevelopment Plan*, City of Homestead (Amount requested: \$120,000)

Key Personnel, *OurLocalgov*, Knight Foundation (Amount requested: \$ 600,000)

TEACHING

Florida International University

Doctoral Courses:

PAD 7707 Advanced Applied Research Method II: Spring 2013, 2014.

Master Courses:

PAD6701 *Quantitative Method* (in-class and online): Fall 2008, 2009, 2010; Spring 2009, 2010,2011,2013; Summer 2010.

PAD 6227 *Public Finance and Budgetary Process* (in-class and online): Spring 2009, 2011,2012,2013 Fall 2009, 2010,2011,2013; Summer 2011, 2012,2013

PAD 6306 Policy Analysis and Program Planning (online): Fall 2011.

Undergraduate Courses:

PAD 4223 *Public Sector Budgeting* (in-class and online): Spring 2012, 2014; Fall,2013

PAD 4704 *Applied Statistics for Policy & Management* (online): summer 2014

PROFESSIONAL HONORS, PRIZES, FELLOWSHIPS

Kauffman Professor award, 2010

Iowa State University Premium for Academic Excellence Award (PACE), 2001 Iowa State University the Donald E. Boles Distinguished Graduate Student Award, 2002

OFFICES HELD IN PROFESSIONAL SOCIETIES

Co-Managing Editor of the *Journal of Public Budgeting, Accounting and Financial Management* (effective on July 1st, 2014)

By-law committee member of Association for Budgeting and Financial Management

Executive Committee member of Association for Budgeting and Financial Management (2015-2017 term)

Executive board member of China America Association For Public Affairs (CAAPA) 2012 to present

Treasurer of China America Association For Public Affairs (CAAPA) 2012 to present

OTHER PROFESSIONAL ACTIVITIES AND PUBLIC SERVICE

Services to the University

Departmental Committee Member

MPA Program Committee (Spring 2009- present)

MPA Admission Committee

BPA Program Committee

Committee on SACS Assessment, Assessing Student Learning Outcomes

Graduate Faculty Status, 2008-present

Faculty Advisor for Ph.D. students and Academic Advisor for MPA students (2008 –present)

Dissertation Committee Member

Donna Commire (dissertation defended)

Michael Paparesta (proposal defended)

Carrie Cong (dissertation defended)

Ranford Edwards (political science department proposal defended)

Help establish the partnership between FIU Department of Public Administration and China-America Association for Public Affairs (CAAPA)

Help establish the partnership between FIU Department of Public Administration and the School of Economic in Renmin University of China

Service to the Profession

Journal reviewer:

American Review of Public Administration (ARPA)

International Public Management Journal (IPMJ)

Journal of Public Administration (China)

Journal of Public Administration Research and Theory (JPART)

Journal of Public Budgeting, Accounting and Financial Management (JPBAFM)

Journal of Transportation and Land Use (JTLU)

Municipal Finance Journal (MFJ)

Public Administration Review (PAR)

Public Budgeting and Finance (PBF)

Public Finance Management (PFM)

Public Performance and Management Review (PPMR)

Public Works Management & Policy (PWMP)

Publius: the Journal of Federalism

Review of Public Personnel Administration (ROPPA)

Professional Memberships:

American Society for Public Administration (ASPA)

Association for Public Policy Analysis and Management (APPAM)

Association for Budgeting and Financial Management (ABFM)

China America Association For Public Affairs (CAAPA)

Western Social Science Association (WSSA)